

PRIMA PLASTICS LIMITED



MFRS. OF: MOULDED FURNITURE GOVT. RECOG. EXPORT HOUSE CIN: L25206DD1993PLC001470

Corp. Office: 41, National House, Saki-Vihar Road, Powai, Andheri (E), Mumbai - 400 072. (India)

Tel.: 0091-022-2857 4768 / 69 / 1791 • Fax : 0091-022-2857 2859 E-mail : investor@primaplastics.com • Website : www.primaplastics.com

October 25, 2019.

BSE Limited, Dalal Street, MUMBAI – 400 001.

Sub: Compliance Certificate under Regulation 40(9).

Ref: Company Code No.530589.

Dear Sir/Madam,

We are attaching herewith the Compliance certificate for the period from 1st April, 2019 to 30th September, 2019, pursuant to Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 issued by Mr. Sadashiv V. Shet, Practicing Company Secretary for the half year ended on September 30, 2019.

You are requested to take the same on your record.

Thank you,

Yours faithfully, For PRIMA PLASTICS LTD.

NIDHI SHAH

COMPANY SECRETARY & COMPLIANCE OFFICER.



Sadashiv V. Shet

B.A., B.Com, LLB, F.C.S. Company Secretary

Address : S-15, Jairam Complex, Nevginagar, Panaji-Goa 403001 Phone : 0832-2427847, 2421237 Email : sadashivshet@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to PRIMA PLASTICS LIMITED (the Company) maintained by M/s. Bigshare Services Private Limited (RTA) pertaining to transfer, sub-division, duplicate, and other request for the period from 01st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate under Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015 and the Listing Agreement entered into by, PRIMA PLASTICS LIMITED with BSE and based on the information provided, I hereby certify that during half year ended on 01st April, 2019 to 30th September, 2019, the Company through its Registrars and Share transfer Agents:

- A) has effected transfer of Shares and issued Share Certificates beyond the prescribed timeline as stipulated under regulation 40 of SEBI (LODR) Regulation, 2015 from the respective dates of lodgment for transfer except cases rejected on technical grounds, due to heavy volume of Transfer & Demat request pursuant to SEBI notification for prohibition on transfer of securities in Physical mode and additional KYC requirements as informed by RTA. An explanation letter received from RTA in this regard is attached herewith.
- B) Not applicable.

Date: 25/10/2019 Place: Panaji -Goa.

UDIN: F002477A000170471

CS SADASHIV V. SHET
PRACTICING COMPANY SECRETARY
C.P.NO. 2540





October 25, 2019

PRIMA PLASTICS LIMITED
41 NATIONAL HOUSE
SAKI VIHAR ROAD CHANDIVALI
ANDHERI (EAST)
MUMBAI-400072.

Dear Sir,

Sub: Delay in processing of Transfer Request.

As per SEBI Notification No. SEBI/LAD-NRO/GN/2018/24 dated June 8, 2018 no transfer of shares would be processed w.e.f December 5, 2018 (which got extended till April 1, 2019) unless the shares are held in dematerialized form,

In order to comply with the amended SEBI Regulations, there was spurt in the volumes of Transfer Requests received till March 31, 2019, resulting in delay of few Transfer Requests i.e. beyond 15 days from the date of lodgement of transfer.

Further, please note this had cascading effect on other requests such as Duplicate &Transmission

In view of the above, We request you to kindly take note.

Thanking You

Yours faithfully,

Fon Bigshare Services Pvt. Ltd.

Authorised Signatory

CIN: U99999MH1994PTC076534
(An Associate Company of Transfer Online Inc., USA)